

HER2 en cáncer de mama: un paradigma en evolución

La vía de HER2, su interacción con los receptores de estrógeno y el papel de HER3.

Vicente Peg

H.U. Vall d'Hebron

Anatomía Patológica

Papel del HER2 en el cáncer de mama

*Ann. Rev. Biochem. 1979. 48:193-216
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EPIDERMAL GROWTH FACTOR

◆12007

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1984-86

- HER2 clonado

Yamamoto T et al. Nature. 1986

1987

- Amplificación
HER2 y
pronóstico

Slamon DJ et al. Science. 1987

1989

- Ac monoclonal
(trastuzumab –
Herceptin® -)
- Fase I en 1992
fase II 1993,
fase III 1995-97

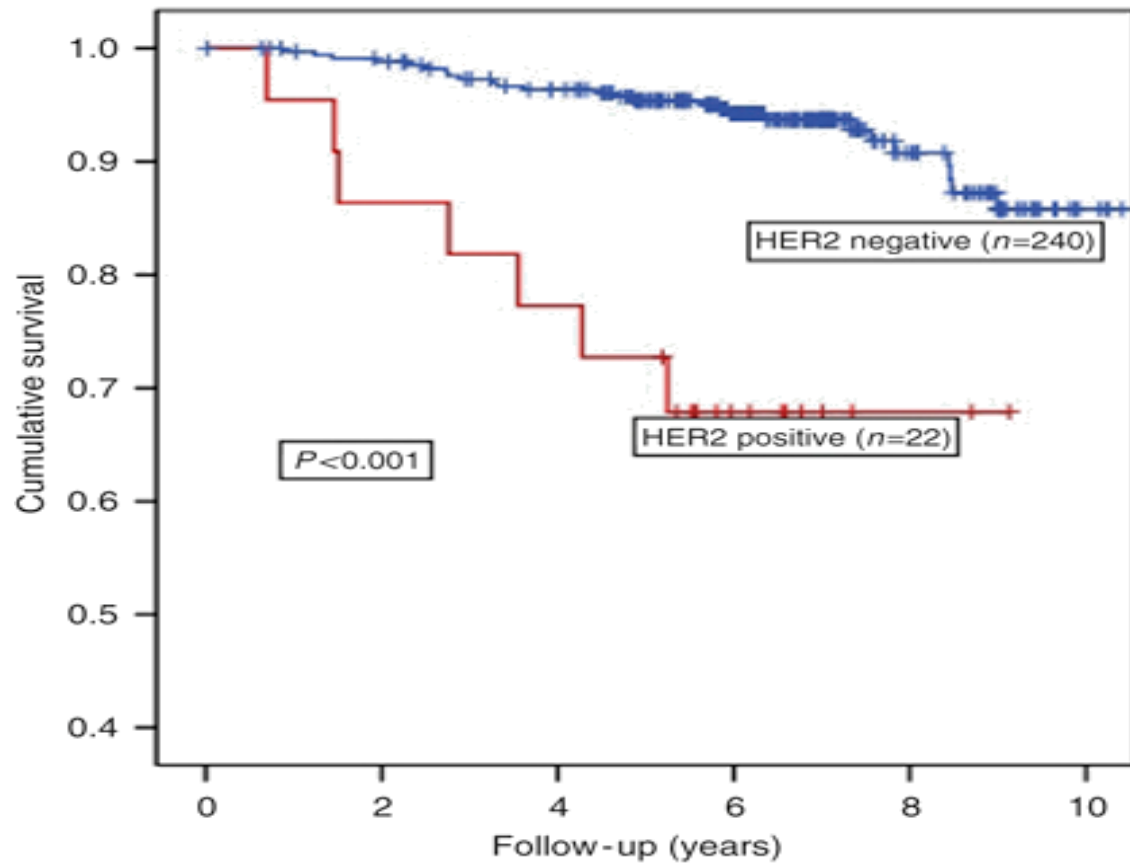
1998

- Aprobación
FDA 1ª línea (+
paclitaxel) para
mBC HER2 +

2006

- Aprobación
FDA

Papel del HER2 en el cáncer de mama



Tovey SM et al, British Journal of Cancer, 2009

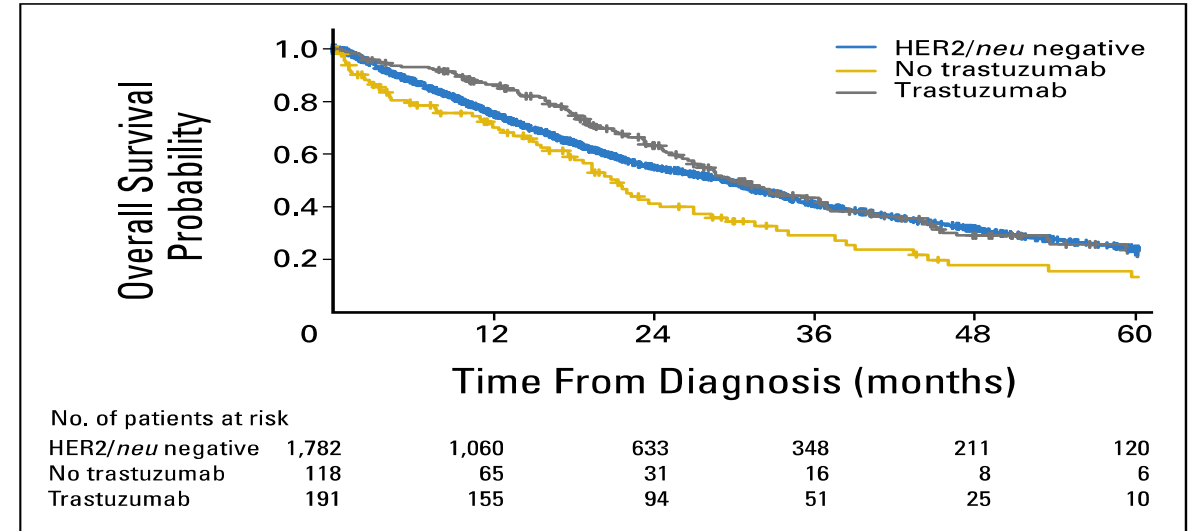


Fig 1. Overall survival by trastuzumab treatment group.

Dawood et al., J Clin Oncol, 2010

Guías de valoración de HER2

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JOURNAL OF CLINICAL ONCOLOGY

ASCO SPECIAL ARTICLE

American Society of Clinical Oncology/College of American Pathologists Guideline Recommendations for Human Epidermal Growth Factor Receptor 2 Testing in Breast Cancer

Antonio C. Wolff, M. Elizabeth H. Hammond, Jared N. Schwartz, Karen L. Haggerty, D. Craig Allred, Richard J. Coe, Mitchell Dowsett, Patrick L. Fitzgibbons, Weidat H. Hanna, Amy Langer, Lisa M. McShane, Soomyung Paik, Mark D. Pogram, Edith A. Perez, Michael F. Press, Anthony Rhodes, Catharine Sturgeon, Sheila E. Taube, Raymond Tubbs, Gail H. Vance, Marc van de Vijver, Thomas M. Wheeler, and Daniel F. Hayes

ABSTRACT

Purpose

To develop a guideline to improve the accuracy of human epidermal growth factor receptor 2 (HER2) testing in invasive breast cancer and its utility as a predictive marker.

Methods

The American Society of Clinical Oncology and the College of American Pathologists convened an expert panel, which conducted a systematic review of the literature and developed recommendations for optimal HER2 testing performance. The guideline was reviewed by selected experts and approved by the board of directors for both organizations.

Results

Approximately 20% of current HER2 testing may be inaccurate. When carefully validated testing is performed, available data do not clearly demonstrate the superiority of either immunohistochemistry (IHC) or in situ hybridization (ISH) as a predictor of benefit from anti-HER2 therapy.

Recommendations

The panel recommends that HER2 status should be determined for all invasive breast cancer. A testing algorithm that relies on accurate, reproducible assay performance, including newly available types of brightfield ISH, is proposed. Elements to reliably reduce assay variation (for example, specimen handling, assay exclusion, and reporting criteria) are specified. An algorithm defining positive, equivocal, and negative values for both HER2 protein expression and gene amplification is recommended: a positive HER2 result is IHC staining of 3+ (uniform, intense membrane staining of > 30% of invasive tumor cells), a fluorescent in situ hybridization (FISH) result of more than six HER2 gene copies per nucleus or a FISH ratio (HER2 gene signals to chromosome 17 signals) of more than 2.0; a negative result is an IHC staining of 0 or 1+, a FISH result of less than 4.0 HER2 gene copies per nucleus, or FISH ratio of less than 1.8. Equivocal results require additional action for final determination. It is recommended that to perform HER2 testing, laboratories show 95% concordance with another validated test for positive and negative assay values. The panel strongly recommends validation of laboratory assay or modifications, use of standardized operating procedures, and compliance with new testing criteria to be monitored with the use of stringent laboratory accreditation standards, proficiency testing, and competency assessment. The panel recommends that HER2 testing be done in a CAP-accredited laboratory or in a laboratory that meets the accreditation and proficiency testing requirements set out by this document.

J Clin Oncol 25:118-145. This guideline was developed through a collaboration between American Society of Clinical Oncology and College of American Pathologists and has been published jointly by invitation and consent in both the *Journal of Clinical Oncology* and the *Archives of Pathology & Laboratory Medicine*. Copyright © 2007 American Society of Clinical Oncology and College of American Pathologists. All rights reserved. No part of this document may be reproduced or transmitted in any form or by any means, electronic or mechanical, including photocopying, recording, or any information storage and retrieval system, without written permission from the American Society of Clinical Oncology or College of American Pathologists.

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JOURNAL OF CLINICAL ONCOLOGY

ASCO SPECIAL ARTICLE

Recommendations for Human Epidermal Growth Factor Receptor 2 Testing in Breast Cancer: American Society of Clinical Oncology/College of American Pathologists Clinical Practice Guideline Update

Antonio C. Wolff, M. Elizabeth H. Hammond, David G. Htze, Mitch Dowsett, Lisa M. McShane, Kimberly H. Allison, Donald C. Allred, John M.S. Bartlett, Michael Bilous, Patrick Fitzgibbons, Weidat Hanna, Robert B. Jenkins, Pamela B. Mangu, Soomyung Paik, Edith A. Perez, Michael F. Press, Patricia A. Spears, Gail H. Vance, Giuseppe Viale, and Daniel F. Hayes

ABSTRACT

Purpose

To update the American Society of Clinical Oncology (ASCO)/College of American Pathologists (CAP) guideline recommendations for human epidermal growth factor receptor 2 (HER2) testing in breast cancer to improve the accuracy of HER2 testing and its utility as a predictive marker in invasive breast cancer.

Methods

ASCO/CAP convened an Update Committee that included coauthors of the 2007 guideline to conduct a systematic literature review and update recommendations for optimal HER2 testing.

Results

The Update Committee identified criteria and areas requiring clarification to improve the accuracy of HER2 testing by immunohistochemistry (IHC) or in situ hybridization (ISH). The guideline was reviewed and approved by both organizations.

Recommendations

The Update Committee recommends that HER2 status (HER2 negative or positive) be determined in all patients with invasive (early stage or recurrence) breast cancer on the basis of one or more HER2 test results (negative, equivocal, or positive). Testing criteria define HER2-positive status when IHC observing within an area of tumor that amounts to > 10% of contiguous and homogeneous tumor cells; there is evidence of protein overexpression (IHC) or gene amplification (HER2 copy number or HER2/CEP17 ratio by ISH based on counting at least 20 cells within the area). If results are equivocal (equivocal IHC), reflex testing should be performed using an alternative assay (IHC or ISH). Repeat testing should be considered if results seem discordant with other histopathologic findings. Laboratories should demonstrate high concordance with a validated HER2 test on a sufficiently large and representative set of specimens. Testing must be performed in a laboratory accredited by CAP or another accrediting entity. The Update Committee urges providers and health systems to cooperate to ensure the highest quality testing.

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INTRODUCTION

In 2007, a joint Expert Panel convened by the American Society of Clinical Oncology (ASCO) and the College of American Pathologists (CAP) met to develop guidelines for when and how to test for the human epidermal growth factor receptor 2 (HER2) gene (also referred to as *ERBB2*),^{1,2} which is amplified

and/or overexpressed in approximately 15% to 20% of primary breast cancers. Since then, minor clarifications and updates to the ASCO/CAP HER2 testing guideline have been issued.³⁻⁵ A detailed rationale for this fall 2013 update, as well as additional background information, is available in Data Supplement 1.

In 2012, ASCO and CAP convened an Update Committee to conduct a formal and comprehensive

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JOURNAL OF CLINICAL ONCOLOGY

ASCO SPECIAL ARTICLE

Human Epidermal Growth Factor Receptor 2 Testing in Breast Cancer: American Society of Clinical Oncology/College of American Pathologists Clinical Practice Guideline Focused Update

Antonio C. Wolff, M. Elizabeth Hale Hammond, Kimberly H. Allison, Brittany E. Harvey, Pamela B. Mangu, John M.S. Bartlett, Michael Bilous, Ian O. Ellis, Patrick Fitzgibbons, Weidat Hanna, Robert B. Jenkins, Michael F. Press, Patricia A. Spears, Gail H. Vance, Giuseppe Viale, Lisa M. McShane, and Mitchell Dowsett

ABSTRACT

Purpose

To update key recommendations of the American Society of Clinical Oncology/College of American Pathologists human epidermal growth factor receptor 2 (HER2) testing in breast cancer guideline.

Methods

Based on the signals approach, an Expert Panel reviewed published literature and research survey results on the observed frequency of less common in situ hybridization (ISH) patterns to update the recommendations.

Recommendations

Two recommendations addressed via correspondence in 2015 are included. First, immunohistochemistry (IHC) 2+ is defined as invasive breast cancer with weak to moderate complete membrane staining observed in > 10% of tumor cells. Second, if the initial HER2 test result in a core needle biopsy specimen of a primary breast cancer is negative, a new HER2 test may (not "must") be ordered on the excision specimen based on specific clinical criteria. The HER2 testing algorithm for breast cancer is updated to address the recommended work-up for less common clinical scenarios (approximately 5% of cases) observed when using a dual-probe ISH assay. These scenarios are described as ISH group 2 (HER2) chromosome enumeration probe 17 (CEP17) ratio \geq 2.0; average HER2 copy number < 4.0 signals per cell; ISH group 3 (HER2/CEP17 ratio < 2.0; average HER2 copy number \geq 6.0 signals per cell), and ISH group 4 (HER2/CEP17 ratio < 2.0; average HER2 copy number \geq 4.0 and < 6.0 signals per cell). The diagnostic approach includes more rigorous interpretation criteria for ISH and requires concomitant IHC review for dual-probe ISH groups 2 to 4 to arrive at the most accurate HER2 status designation (positive or negative) based on combined interpretation of the ISH and IHC assays. The Expert Panel recommends that laboratories using single-probe ISH assays include concomitant IHC review as part of the interpretation of all single-probe ISH assay results.

Find additional information at www.asco.org/breast-cancer-guidelines.

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testing and the clinical utility of HER2 as a predictive biomarker for potential responses to therapies targeting the HER2 protein.¹⁻⁴ Activating mutations of the tyrosine kinase and extracellular domains of HER2 in the absence of amplification or overexpression offer an alternative and much less common mechanism for HER2-targeted therapy that is being explored in clinical trials of small molecule kinase inhibitors.⁵ Data from

ASSOCIATED CONTENT

Appendix
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Data Supplement
DOI: <https://doi.org/10.1200/JCO.2018.77.8738>
DOI: <https://doi.org/10.1200/JCO.2018.77.8738>

INTRODUCTION

First released in 2007 and updated in 2013, the recommendations by the American Society of Clinical Oncology (ASCO)/College of American Pathologists (CAP) human epidermal growth factor receptor 2 (HER2) testing Expert Panel are aimed at improving the analytic validity of HER2

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Función biológica de HER2

- EGF descubierto en 1959
- Objetivo:
 - Describir la interacción con los receptores de membrana, internalización y degradación
 - Analizar las proteínas fosforiladas por los EGF in vitro
 - Determinar la secuencia de los eventos bioquímicos que conducen a la proliferación celular
 - Definir el papel fisiológico del los EGF endógenos

CONCLUDING REMARKS

Among the many alternative hypotheses that may be considered in attempting to understand the mechanisms by which EGF exerts its effect are:

1. All of the biological effects of EGF are exerted at the plasma membrane and the internalization process merely serves to remove the stimulus.
2. Membrane binding is necessary for some of the observed effects (such as transport) whereas others (such as DNA synthesis) require internalization of the cell-bound hormone.
3. Phosphorylation of membrane components induced by EGF is related to altered transport of ions and nutrients or internalization of the cell-bound growth factor.
4. A degradation product of EGF is biologically active within the cell.
5. Internalization of the receptor, with or without degradation, is related to the mitogenic signal.
6. Activation and internalization of the membrane phosphorylating systems (receptor related?) is required for mitogenicity. This could serve to phosphorylate specific membrane-associated cytoplasm proteins which in turn could act as metabolic signals.

Amplificación de HER2

s degree of HER-2/*neu*
 with 12 µg of Eco RI-
 tumor 31 (Fig. 1), which
 U2-*neu* gene. Lane g is
 with >20 copies of the
 :100, 1:20, 1:10, 1:5,
 g. Lane k is DNA from
 ing two to five copies of
 as (1:10, 1:5, and 1:2,
 is DNA from tumor 34
 pies of the HER-2/*neu*
 :5, and 1:2, respective-
 red and hybridized with
 mple of arginase probe
 its of tumor DNA were
 taining lanes 30 to 40
 ing in a buffer made up
 65°C for 20 minutes,
 ch in 0.1× SSC at room
 AR-5 film (Kodak) to
 dized as in Fig. 1 with a

A

a b c d e f g h i j k l m n o p

B

30 31 32 33 34 35 36 37 38 39 40

pN +

amplification. It is well known that the number of positive nodes is
 the best prognostic factor for disease recurrence and survival in

Descripción de la familia de receptores HER



- EGFR (HER1, *erbB1*)
- HER2 (*erbB2*, HER2/*neu*)
- HER3 (*erbB3*)
- HER4 (*erbB4*).

Función biológica de HER2

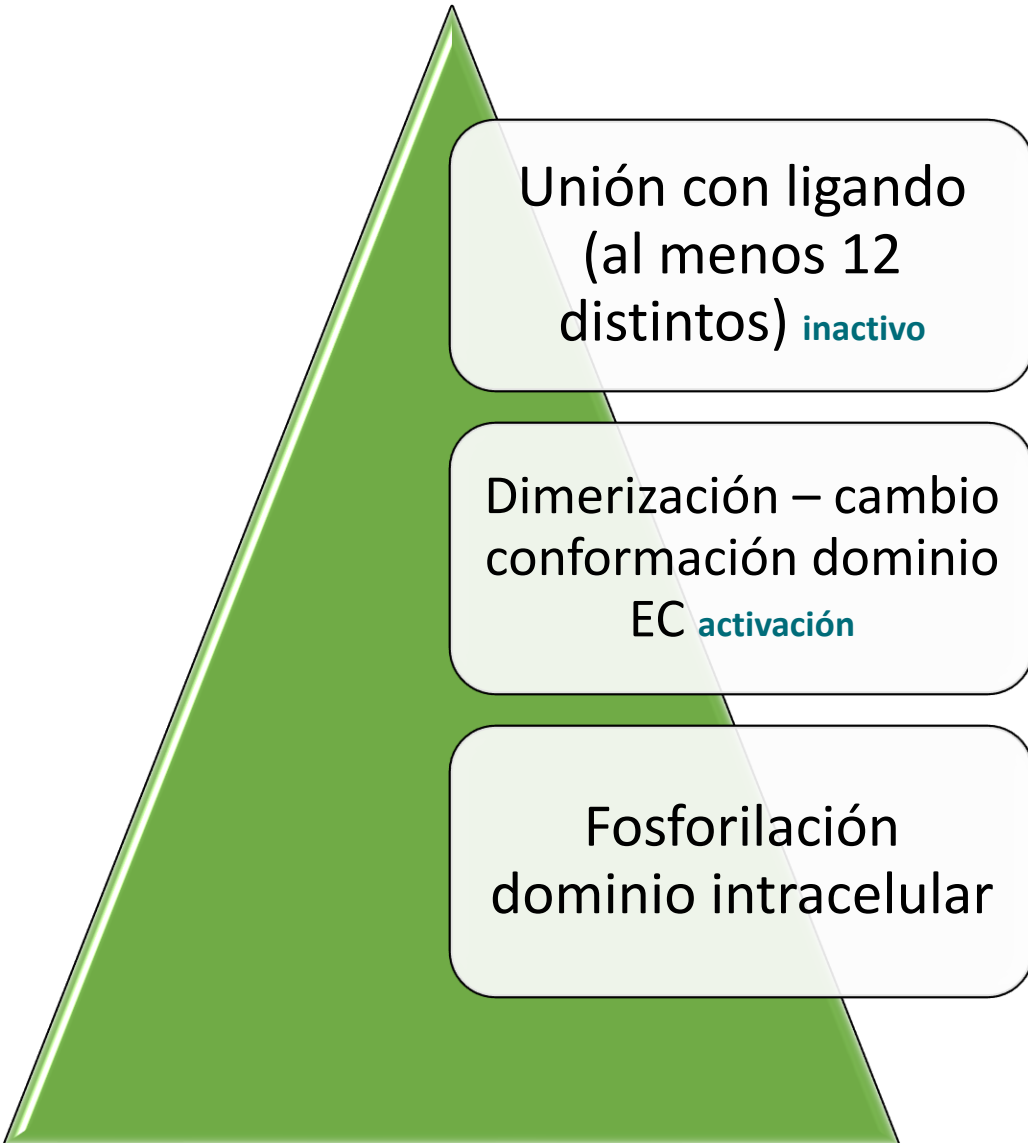
- HER/*erbB*: familia de 4 receptores de membrana (HER1/4)
- Papel en la proliferación y diferenciación celular
- Distintos EGF actúan como ligandos (salvo para HER2)
- Los receptores HER existen como monómeros o dímeros (homo-hetero). La unión con el ligando induce la dimerización, especialmente con HER2 (señales más potentes)
- En células normales, escasas moléculas de HER2 (señales controlables)

lipophilic segment, and an intracellular domain with tyrosine kinase catalytic activity (Figure 1) [9]. A terminal carboxyl autophosphorylation segment is most likely to be responsible for translation of the activation signals initiated by extracellular ligand binding into physiologic action.

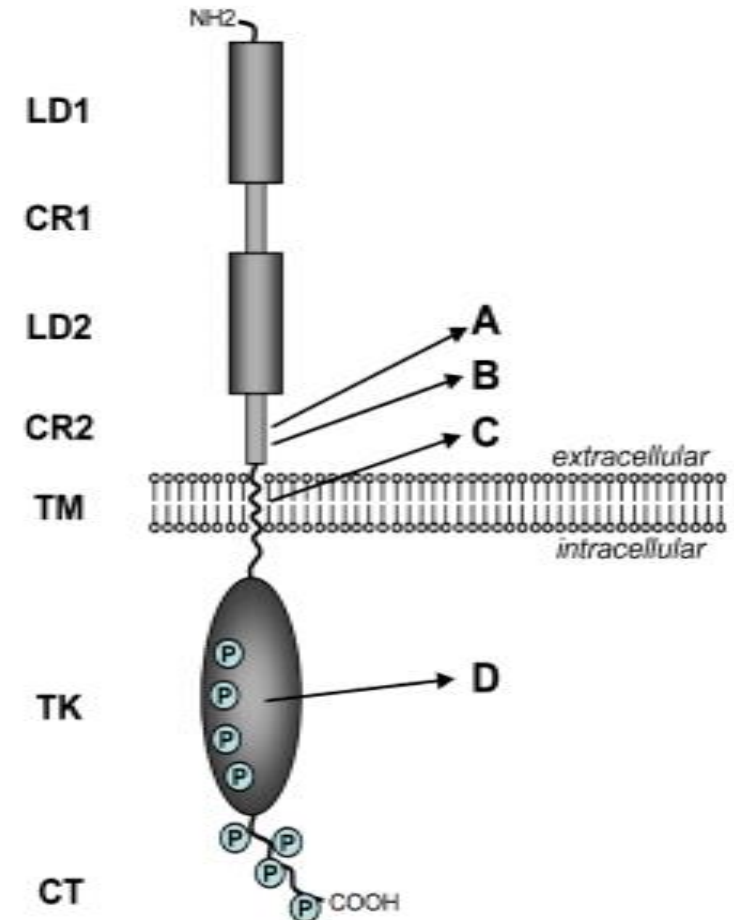
Ligands of HER receptors

An understanding of the action of ligands of HER receptors is crucial to understanding the role of the receptors themselves. The HER receptors exist as monomers. However, on ligand binding they form receptor

Señalización mediada por las proteínas HER



HER2 siempre forma activa

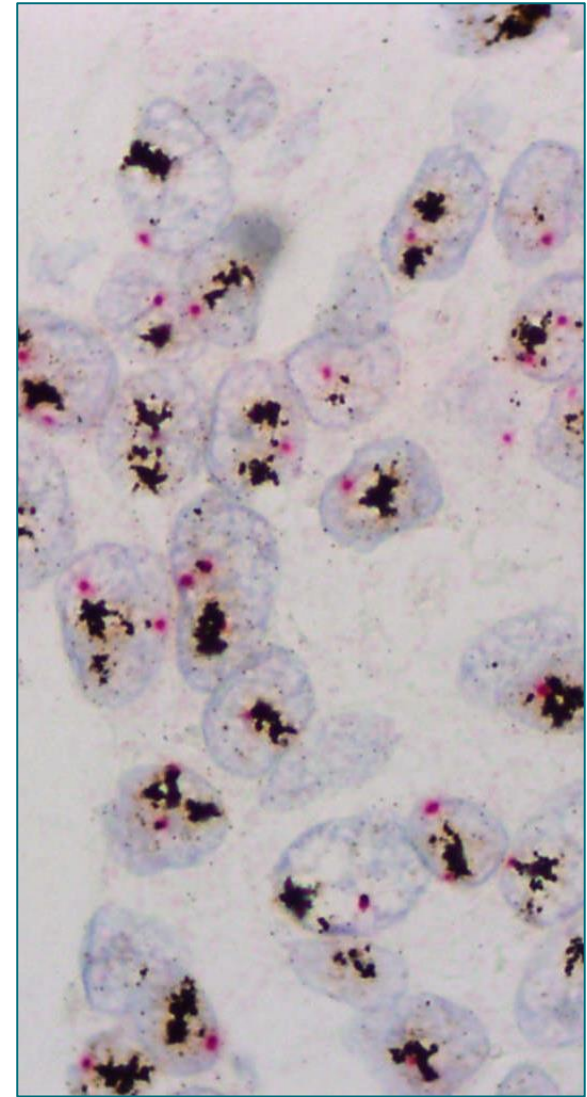


Moasser MM, Oncogene, 2007

Expresado en múltiples tejidos no hematopoyéticos – implicado en desarrollo cerebro, piel, pulmón y tracto GI

Sobreexpresión de HER2

- Por amplificación o alteración de la regulación transcripcional (20-50 copias o incremento de 40-100 de la expresión proteica en cáncer de mama)
- Evento temprano en el desarrollo del cáncer de mama
- Presente hasta el 50% de CDIS
- Entidad propia con características propias (ej. propensión a M1 SNC, sensibilidad a determinados citotóxicos y resistencia a terapias hormonales)



Slamon DJ. Science 1989

Lohrisch C, Piccart M, Semin Oncol 2001

Park K, Histopathology 2006

Gabos Z, J Clin Oncol 2006

Tumorogénesis mediada por HER2



PAPEL DEL RECEPTOR HER3

Papel del receptor HER3

- Receptor “inactivo” (o débilmente) por carecer de actividad TK
- Activación de la vía PI3K/Akt (supervivencia, evasión apoptosis)
- Sobreexpresado en 40-70% cáncer de mama
- Asociación con metástasis a distancia, tamaño y riesgo de recidiva aunque con resultados contradictorios (heterogeneidad? Forma de detección? Distinto papel según subtipo molecular?)

Table 2. Evaluation of human epidermal growth factor receptor 3 (HER3) by immunohistochemistry (IHC) in the selected studies

Tumor type (Reference)	HER3 overexpression, %	Antibody used for the evaluation of HER3 by IHC	Cutoff for overexpression
Pancreatic (18)	41.3%	Nanotools, Teningen, Germany	Cytoplasmic and membrane-staining intensity Positive: moderate staining is observed in >10% tumor cells (score 2+) and strong staining is observed in >10% tumor cells (score 3)
Breast (19)	43.0%	Clone 2F12, Labvision, Cheshire, UK	Cytoplasmic. Proximity ligation assay. Positive: Optimal cutoffs for HER2:HER3 dimers were assessed by performing a minimum <i>P</i> value estimation using approximate 5% cutoffs (5% of cases per interval) across the entire dataset using relapse-free survival as an endpoint.
Breast (20)	17.5%	IgG1, Neomarkers, UK	4-point scale, where 0 = no staining, 1 = light staining, 2 = moderate staining, and 3 = strongstaining Positive: 3 strong staining
Colorectal (21)	17.0%*	MAB-MS-725-P, Neomarkers, Fremont, CA	Cytoplasmic and membrane Positive: Membranous staining: >1% of tumor cells stained. Cytoplasmic staining: 2+: moderate immunostaining in >10% of tumor cells and 3+: strong immunostaining in >10% of tumor cells.

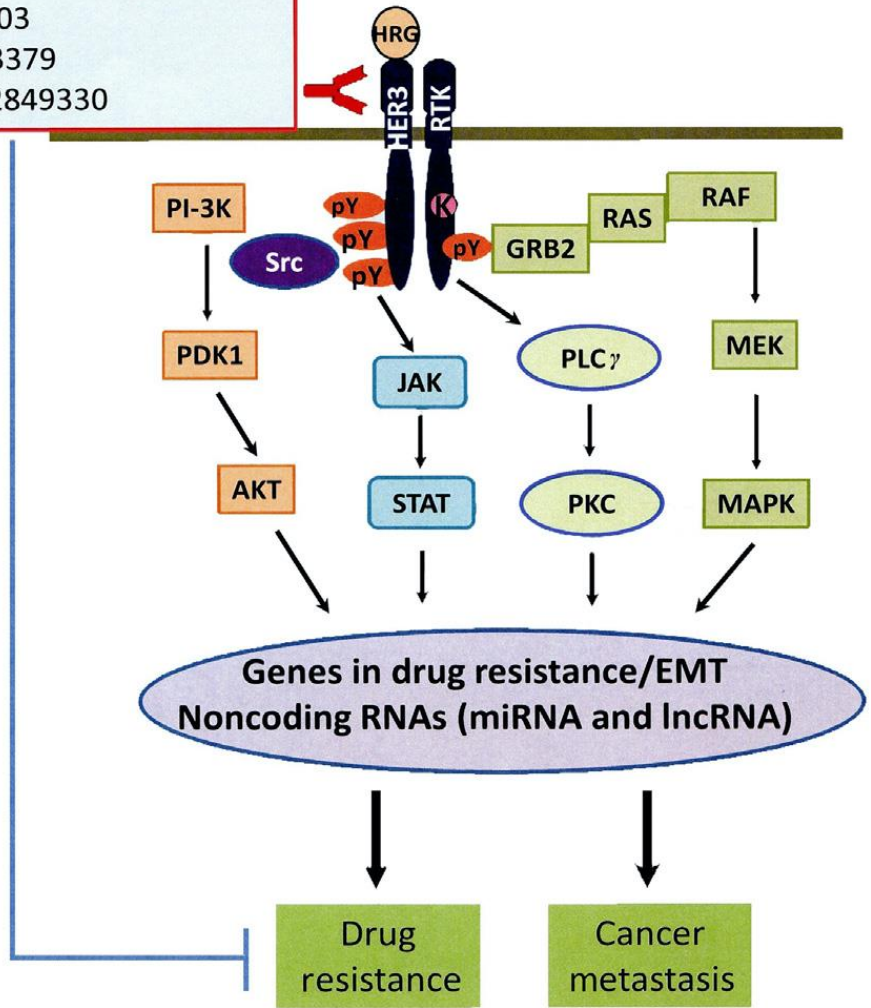
Table 3. Odds ratios of human epidermal growth factor receptor 3 (HER3) overexpression (experimental) vs normal HER3 expression (control) for death at 3 and 5 years based on cancer by site and staining method*

Studies	Number of studies	Pooled OR (95% CI); <i>P</i>	Subgroup difference <i>P</i>
Colorectal cancer (21, 22, 24)	3	3-year OS: 0.82 (0.44 to 1.51); .52 5-year OS: 1.07 (0.63 to 1.80); .80	<.001 .002
Gastric cancer (23, 25)	2	3-year OS: 3.71 (2.19 to 6.29); <.001 5-year OS: 2.99 (1.81 to 4.95); <.001	.03 .21
Breast cancer (19, 20)	2	3-year OS: 2.48 (1.50 to 4.11); <.001 5-year OS: 2.89 (1.84 to 4.53); <.001	.66 .17
HER2-overexpressing tumors (19, 20, 23, 25, 27)	5	3-year OS: 3.12 (2.24 to 4.37); <.001 5-year OS: 2.84 (2.09 to 3.88); <.001	.004 .02
ER-positive tumors (19, 20, 27)	3	3-year OS: 2.77 (1.82 to 4.22); <.001 5-year OS: 2.75 (1.86 to 4.08); <.001	.24 .17
Cytoplasmic and membrane staining (18, 19, 21–23, 25–27)	8	3-year OS: 2.15 (1.61 to 2.85); <.001 5-year OS: 2.21 (1.68 to 2.90); <.001	.59 .79
Membrane staining (20, 24, 28)	3	3-year OS: 2.45 (1.62 to 3.71); <.001 5-year OS: 2.18 (1.45 to 3.27); <.001	.61 .95

CI = confidence interval; ER = estrogen receptor; OR = odds ratio; OS = overall survival.

Patritumab/U3-1287
 Seribantumab/MM-121
 Elgantumab/LJM716
 Lumretuzumab/RG7116
 AV-203
 KTN3379
 GSK2849330

Human or humanized mono-specific Abs targeting HER3 in clinical trials



Metástasis a distancia

Resistencia a tto

HER3 como posible diana terapéutica

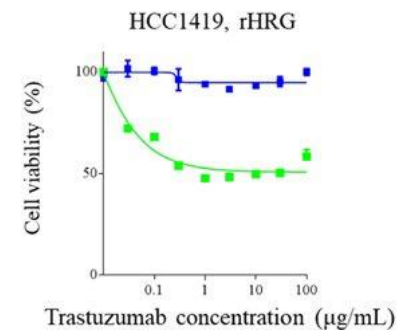
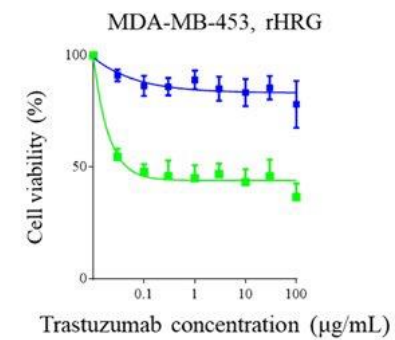
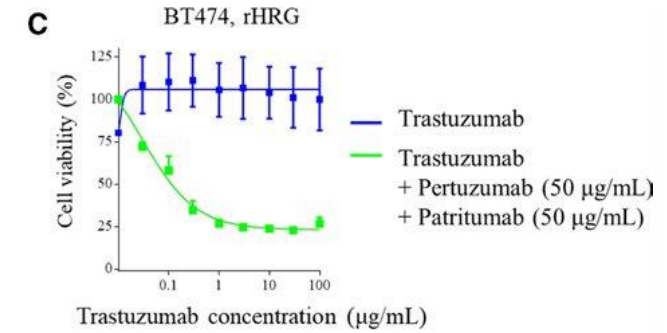
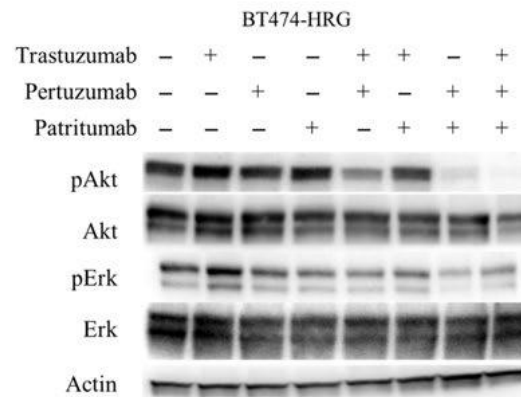
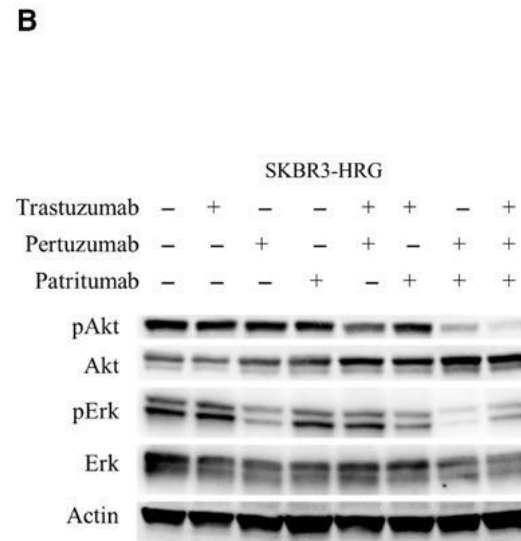
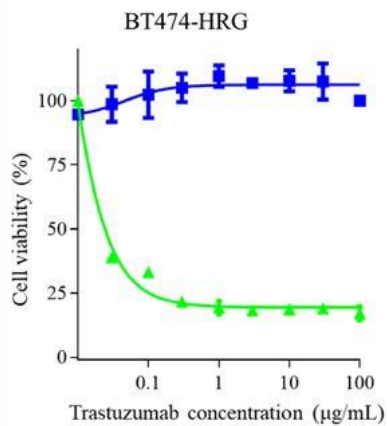
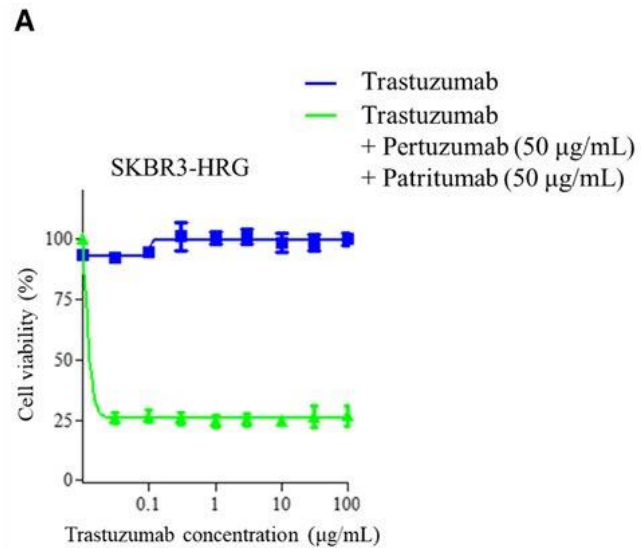
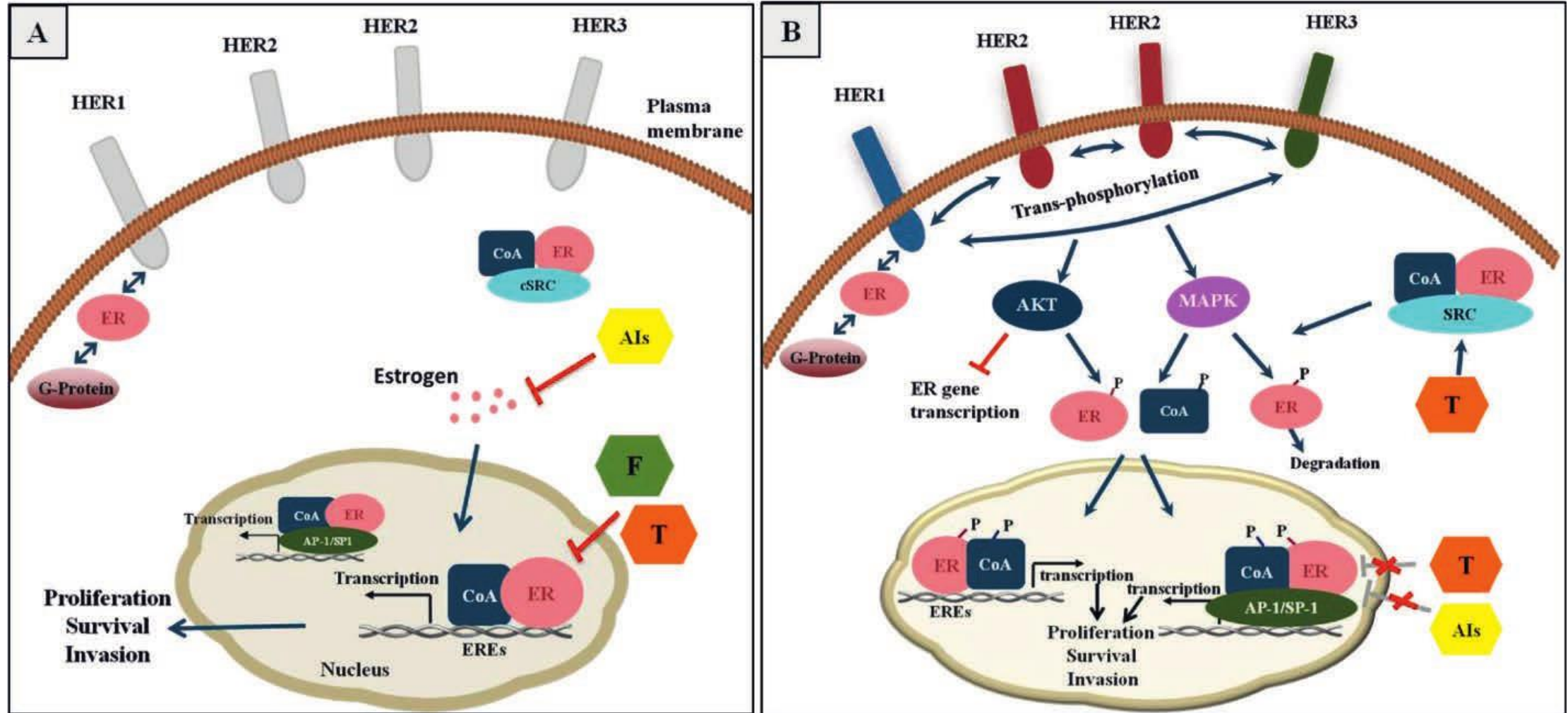


Table 4. Ongoing studies evaluating anti-human epidermal growth factor receptor 3 (HER3) therapeutic strategies*

Study/sponsor	Phase/setting	Experimental arm(s)
NCT01482377 Hoffmann-La Roche	Phase I, 3-part dose escalating study Malignant/locally advanced HER3-positive solid tumors	RO5479599RO5479599 + cetuximab RO5479599 + erlotinib
NCT01451632 Merrimack Pharmaceuticals	Phase I Advanced cancers	MM-121 (SAR256212) + cetuximab + irinotecan
NCT01447225 Merrimack Pharmaceuticals	Phase I Advanced-stage solid tumors	MM-121(SAR256212) + gemcitabine MM-121 (SAR256212) + carboplatin MM-121 (SAR256212) +pemetrexed MM-121 (SAR256212) + cabazitaxel
NCT01209195 Merrimack Pharmaceuticals	Phase I Advanced gynecological cancers or HER2-negative breast cancers	MM-121+ paclitaxel
NCT00734305 Merrimack Pharmaceuticals	Phase I Advanced solid tumors resisting ordinary treatment	MM-121
NCT01436565 Sanofi-AventisMerrimack Pharmaceuticals	Phase I Locally advanced or metastatic solid tumors (PI3K mutation present)	MM-121(SAR256212) + SAR245408
NCT01151046 Merrimack Pharmaceuticals	Phase II Locally advanced or metastatic estrogen receptor-positive and/or progesterone receptor-positive HER2-negative breast cancer	Arm A: MM-121 (SAR256212) + exemestane Arm B: placebo+ exemestane
NCT01421472 Merrimack Pharmaceuticals	Phase II Early HER-2 negative breast cancer	Arm A: MM-121 (SAR256212) + paclitaxel→doxorubicin, cyclophosphamide→surgery Arm B: paclitaxel→doxorubicin, cyclophosphamide→surgery
NCT00994123 Merrimack Pharmaceuticals	Phase I-II Advanced non-small-cell lung cancer	Arm A: MM-121 (SAR256212) + erlotinib Arm B: erlotinib
NCT01447706 Merrimack Pharmaceuticals	Phase II Platinum-resistant or refractory recurrent/advanced ovarian cancers	Arm A: MM-121 (SAR256212) + paclitaxel Arm B: paclitaxel
NCT01097460 Merrimack Pharmaceuticals	Phase I Advanced HER2-amplified, heregulin-positive breast cancer	MM-111 + trastuzumab
NCT01603979 AVEO Pharmaceuticals	Phase I Metastatic or advanced solid tumors	AV-203
NCT01479023 Washington University School of Medicine	Phase I Advanced solid tumors	U3-1287

INTERACCIÓN CON LA VÍA DE LOS RECEPTORES DE ESTRÓGENO

Interacción vías r. estrógeno y HER2



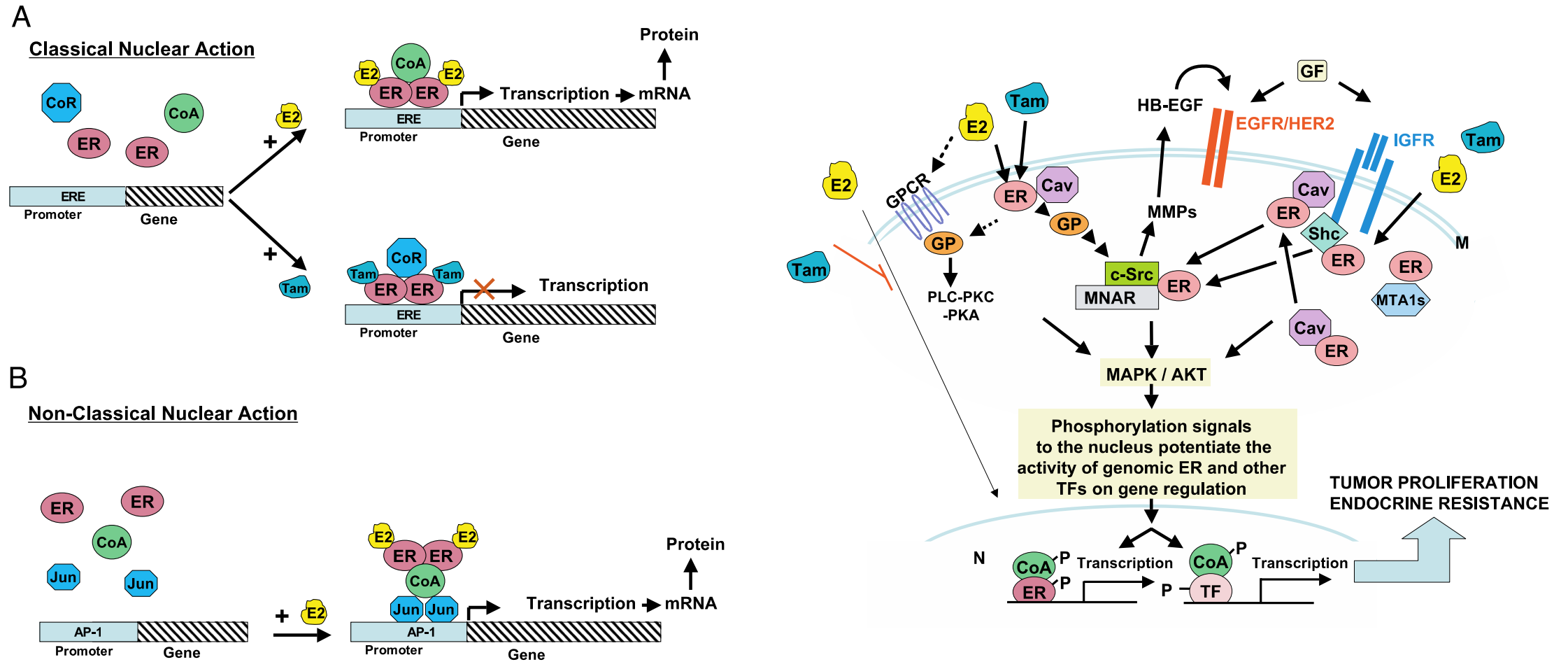
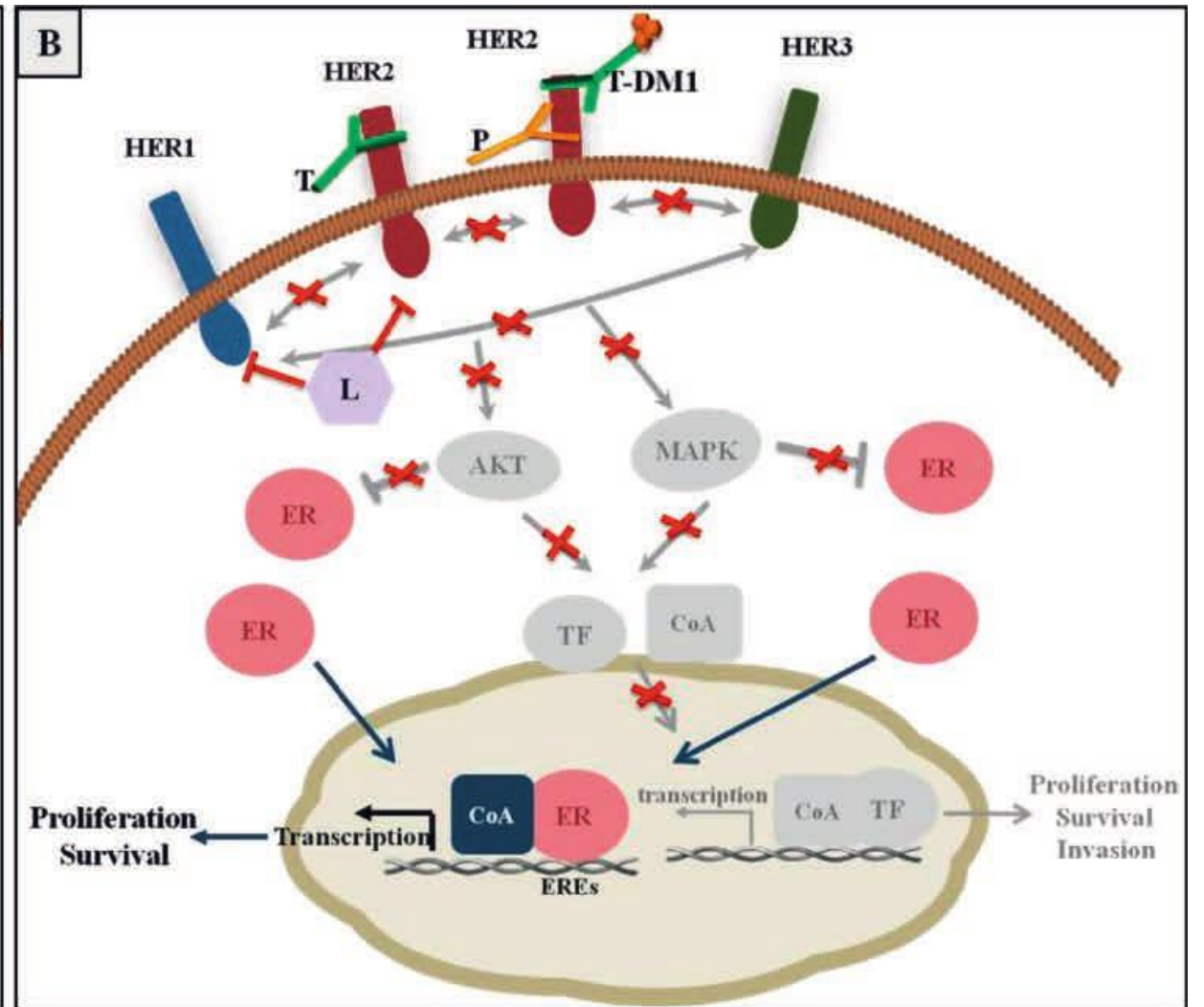
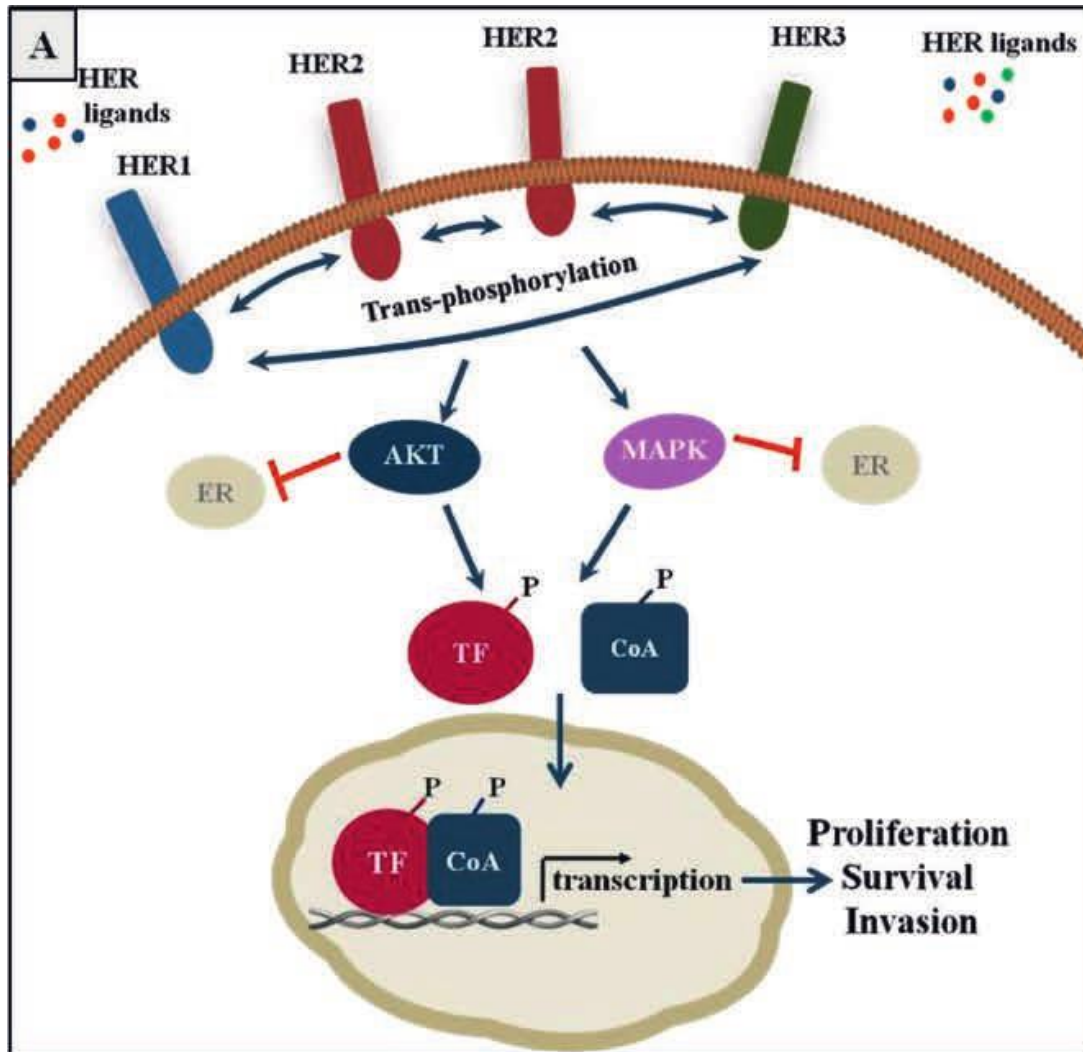
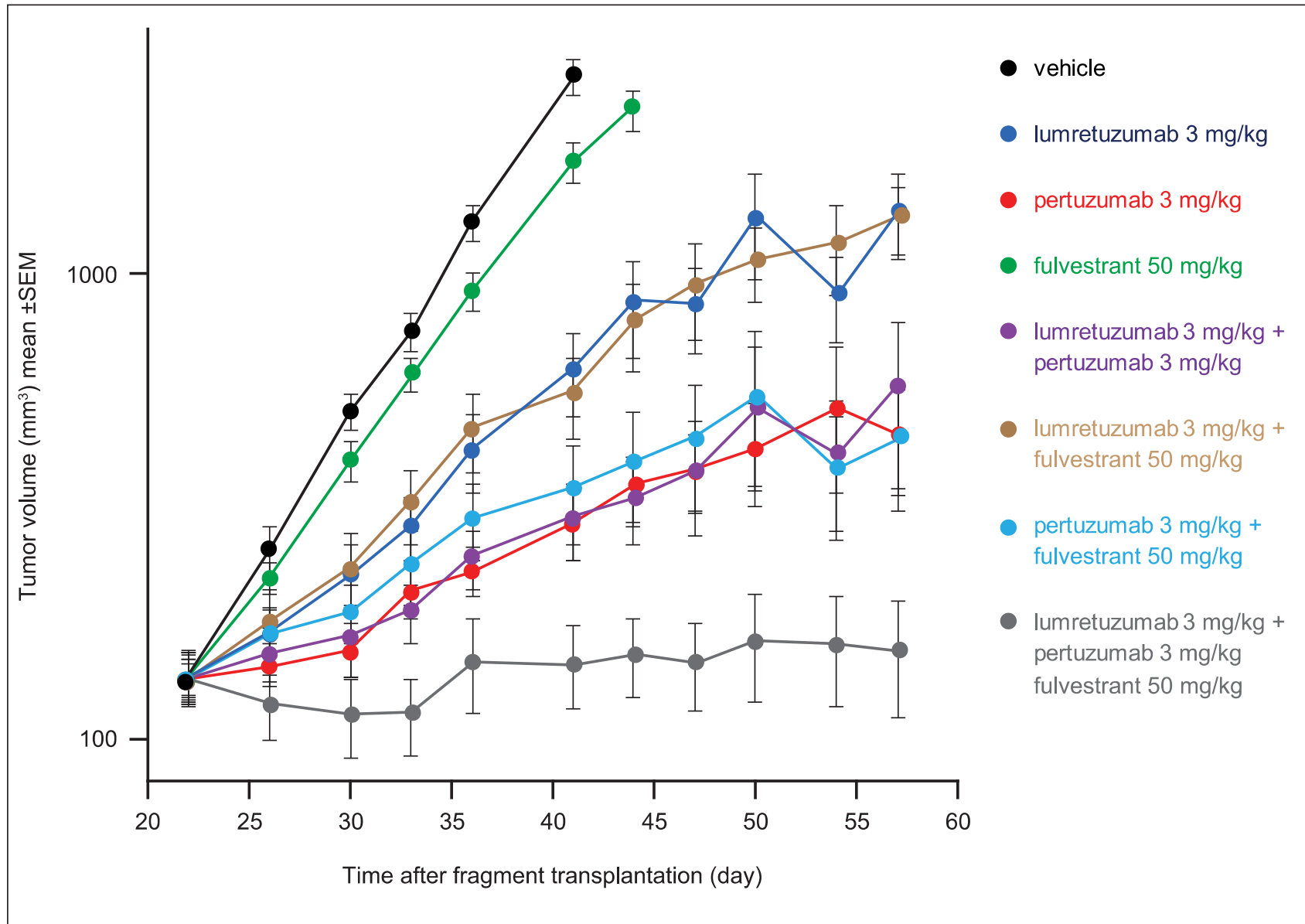


TABLE 1. Response to estrogen deprivation/SERMs in the neoadjuvant setting

	Study 1 Ellis (117)		Study 2 Zhu (118)		Study 3 Smith (11)	
	Letrozole	Tamoxifen	Letrozole	Tamoxifen	Letrozole	Tamoxifen
EGFR/HER2 negative	54%	42%	35%	—	41%	43%
EGFR/HER2 positive	88%	21%	75%	—	58%	22%

Interacción vías r. estrógeno y HER2





CONCLUSIONES

Conclusiones

- HER2 juega un papel fundamental en el cáncer de mama, como factor pronóstico y predictivo de respuesta a tratamiento dirigido
- Afinidad por formar hetero-dímeros con HER3 (¿posible diana?)
- Reacción cruzada con la vía de los receptores de estrógeno, posible causa de resistencias al tratamiento